

Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 09857-044001	Application No. 09/841,661
	Applicant John Delta and Donald Botic			
	Filing Date April 24, 2001		Group Art Unit	

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	"Special Study: ECNs and After-hours Trading" retrieved from the U.S. Securities and Exchange Commission website <URL: http://www.sec.gov/news/studies/ecnafter.htm > on 7/22/2007, document was published in June 2000.
	AR	Nasdaq's website on "Index Descriptions" retrieved from http://quotes.nasdaq.com/references/indexDescriptions.stm on 7/22/2007.
	AS	Nasdaq's website on "NASDAQ-100 After Hours IndicatorSM" retrieved from <URL: http://dynamic.nasdaq.com/dynamic/nasdaq100_indicator_after.stm > on 7/22/2007.
	AT	Hansard et al., "This Dinner Theater Features Tragedies," Investment News, vol. 5, no. 13, page 2, April 2, 2001.

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 09857-044001	Application No. 09/841,661
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant John Delta and Donald Bosic	
		Filing Date April 24, 2001	Group Art Unit
(37 CFR §1.98(b))			

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AU	Office Action and Reply filed in Serial No. 09/941,491.

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /OA/